

Docket No.: 1321.28

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: **Alfredo J. Teran et al.**

SERIAL NO.: **09/681,907**

ART UNIT: 1724

FILING DATE: **06/22/2001**

EXAMINER: **THOMAS M. LITHGOW**

FOR: **METHOD FOR TREATING DYE WASTEWATER**

PATENTS

RECEIVED
MAY 12 2003
TC 1706

Assistant Commissioner for Patents
Office of Initial Patent Examination's Customer Service Center
United States Dept. of Commerce Patent & Trademark Office
Washington, D.C. 20231

TRANSMITTAL OF REQUEST FOR CORRECTION

Sir,

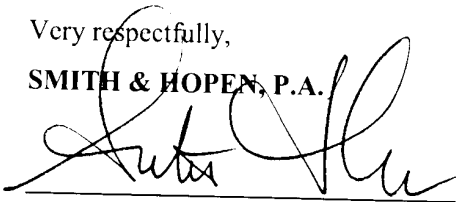
Please accept the enclosed:

1. Applicant's Request for Correction of Filing Receipt;
2. A copy of the filing receipt received by counsel; and
3. A self-addressed, postage pre-paid postcard, to serve as a receipt for items 1 and 2.

The Commissioner is authorized to charge any additional fees, or credit any overpayment, to Deposit Account No. 500745. A duplicate copy of this sheet is enclosed.

Very respectfully,

SMITH & HOPEN, P.A.

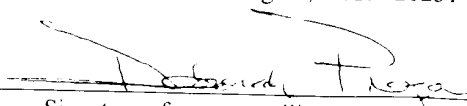

Anton J. Hopen
15950 Bay Vista Drive, Ste. 220
Clearwater, FL 33760
(727)507-8558
Attorneys for Applicant

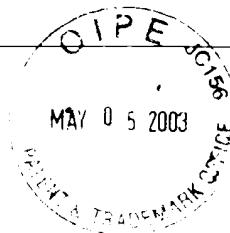
CERTIFICATE OF MAILING

I HEREBY CERTIFY that this correspondence is being mailed by First Class Mail and is addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination's Customer Service Center, United States Dept. of Commerce, Patent & Trademark Office, Washington, D.C. 20231 on April 28, 2003.

Deborah Preza

Typed or printed name of person mailing paper or fee


Signature of person mailing paper or fee



Docket No. 1321.28

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Alfredo J. Teran et al.**

Serial No.: **09/681,907**

Filed: **06/22/2001**

For: **METHOD FOR TREATING DYE
WASTEWATER**

Art Unit: **1724**

Examiner: **Thomas M. Lithgow**

RECEIVED
MAY 12 2003
TC 700

Assistant Commissioner for Patents
Office of Initial Patent Examination's Customer Service Center
United States Dept. of Commerce Patent & Trademark Office
Washington, D.C. 20231

REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

We are in receipt of the attached Filing Receipt for the above-referenced application. A Request for Correction of Inventorship under 37 C.F.R. § 1.48 (a)(1) was filed on January 31, 2003. The undersigned hereby requests that the **Applicant(s)** be amended on the filing receipt and in the record of the above-mentioned application as follows:

Alfredo J. Teran, Cape Canaveral, FL;

Nidal A. Samad, Merritt Island, FL;

Richard G. Wood, Merritt Island, FL;

A copy of the original filing receipt is enclosed. The issuance of a corrected filing receipt is respectfully requested.

Respectfully submitted,

SIGNATURE OF PRACTITIONER

Anton J. Hopen

Smith & Hopen, P.A.

15950 Bay Vista Drive, Ste. 220

Clearwater, FL 33760

Reg. No. 41,849
Tel. No.: (727) 507-8558

CERTIFICATE OF MAILING

(37 C.F.R. 1.8)

I HEREBY CERTIFY that this Filing Receipt Correction is being deposited with the United States Postal Service by First Class Postage in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination's Customer Service Center, United States Department of Commerce Patent and Trademark Office, Washington, D.C. 20231 on **Monday, April 28, 2003.**

Dated: **4/28/2003**

dpreza



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D. C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/681,907	06/22/2001	1724	435	1321.28	5	15	5

CONFIRMATION NO. 3508

21901
SMITH & HOPEN PA
15950 BAY VISTA DRIVE
SUITE 220
CLEARWATER, FL 33760



FILING RECEIPT



OC000000006303188

RECEIVED
MAY 12 2003
TC 1706

Date Mailed: 07/17/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Alfredo J. Teran, Cape Canaveral, FL;
Nidal A. Samad, Merritt Island, FL;
Richard G. Wood, Merritt Island, FL

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 07/16/2001

Projected Publication Date: 12/26/2002

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Method for treating dye wastewater

Preliminary Class

210

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "**a**," "**an**" and "**the**" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "**new**," "**improved**," "**improvements in**" or "**relating to**" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "**a**," "**an**" and "**the**" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "**new**," "**improved**," "**improvements in**" or "**relating to**" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231